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06-25-01 A

SUBSTITUTE for PTO/SB/05 (08/00) Utility Patent Application Transmittal  
Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	20703Y	
First Inventor or Application Identifier		Robert K. Evans
Title	POLYNUCLEOTIDE VACCINE ADJUVANTS AND FORMULATIONS CONTAINING CATIONIC SURFACTANTS, AND METHODS OF USE	
Express Mail Label No.		EL 523909478US

06/22/01

APPLICATION ELEMENTS <small>See MPEP chapter 600 concerning utility patent application contents.</small>		ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231			
1. <input checked="" type="checkbox"/> Fee Transmittal Form <small>(Submit an original, and a duplicate for fee processing)</small>	6. Nucleotide and/or Amino Acid Sequence Submission <small>(if applicable, all necessary)</small> <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> Computer Readable Form</li> <li>b. <input type="checkbox"/> Paper Copy (identical to computer copy)</li> <li>c. <input type="checkbox"/> Statements verifying identity of above copies</li> </ul>				
2. <input checked="" type="checkbox"/> Specification [ Total Pages 63 ]	7. <input type="checkbox"/> Assignment papers (cover sheet & document(s))				
3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [ Total Sheets 20 ] <small>[ Total Pages 83 ]</small>	8. <input type="checkbox"/> 37 CFR 3.73(b) Statement <small>(when there is an assignee)</small> <input type="checkbox"/> Power of Attorney				
4. Oath or Declaration <ul style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> Newly executed (original or copy)  <small>(unsigned)</small></li> <li>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))  <small>(for continuation/divisional with Box 14 completed)</small> <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u>            Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33 (b).</li> </ul> </li> </ul>	9. <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Copies of (IDS)/PTO-1449 10. <input type="checkbox"/> Preliminary Amendment				
5. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	11. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small>				
12. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small>				13. <input checked="" type="checkbox"/> Other: Application Transmittal 1.53(b)	

14. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation  Divisional  Continuation-in-part (CIP) of prior application No. \_\_\_\_\_ / \_\_\_\_\_  
 Prior application information: Examiner \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.

**15. CORRESPONDENCE ADDRESS**

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	<b>Customer No. 000210</b> (Insert Customer No. or Attach bar code here)		
NAME	J. Mark Hand <i>J. Mark Hand</i>		
ADDRESS	Merck & Co., Inc., P.O. Box 2000 - Patent Dept., RY60-30		
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ZIP CODE	07065-0907		
FAX	732-594-4720		

Name	J. Mark Hand	Registration No. (Attorney/Agent)	36,545
Signature	<i>J. Mark Hand</i>		Date 6/22/2001

**EXPRESS MAIL CERTIFICATE**

DATE OF DEPOSIT June 22, 2001

EXPRESS MAIL NO. EL523909478US

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS EXPRESS MAIL "POST OFFICE TO ADDRESSEE" ON THE ABOVE DATE IN AN ENVELOPE ADDRESSED TO ASSISTANT COMMISSIONER FOR PATENTS, BOX PATENT APPLICATION, WASHINGTON, D.C. 20231.

MAILED BY *A.B. Crowley* DATE *6/22/01*

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Assistant Commissioner for Patents  
Washington, D.C. 20231

Deposit Acct. 13-2755

MERCK &amp; CO., INC.

Our Case Docket No. 20703Y

Sir:

Transmitted herewith for filing under 37 C.F.R. §1.53(b) is the patent application of Inventor(s):  
Robert K. Evans

For: POLYNUCLEOTIDE VACCINE ADJUVANTS AND FORMULATIONS CONTAINING CATIONIC SURFACTANTS,  
AND METHODS OF USE

For	Number Filed	Number Extra	Rate	Basic Fee \$710
Total Claims	38 - 20 =	18 X	\$18	= \$324
Independent Claims	3 - 3 =	0 X	\$80	= \$0
Multiple Dependent Claims*	1	1 X	\$270	= \$270
* Add this fee if application contains any multiple dependent claims, regardless of number.			TOTAL FILING FEE →	\$1,304

Please charge my Deposit Account No. 13-2755 in the amount of \$ 1,304. The Assistant Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Account No. 13-2755.  
Duplicate copy of this sheet is enclosed.

Under the provisions of 37 C.F.R. §1.53, this application is being filed without the declaration of each inventor.

Respectfully,

By: J. Mark Hand

Attorney            For Applicant(s)Reg. No. 36,545

MERCK &amp; CO., INC.

Patent Dept., RY60-30

P.O. Box 2000

Rahway, N.J. 07065-0907

(732) 594-3905Date: June 22, 2001

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IN DUPLICATE